

Counting points and acquiring flesh

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Abstract

This set of notes is based on a lecture I gave at “50 years of Finite Geometry — A conference on the occasion of Jef Thas’s 70th birthday,” in November 2014. It consists essentially of three parts: in a first part, I introduce some ideas which are based in the combinatorial theory underlying \mathbb{F}_1 , the field with one element. In a second part, I describe, in a nutshell, the fundamental scheme theory over \mathbb{F}_1 which was designed by Deitmar. The last part focuses on zeta functions of Deitmar schemes, and also presents more recent work done in this area.

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1 Introduction

For a class of incidence geometries which are defined (for instance coordinatized) over fields, it often makes sense to consider the “limit” of these geometries when the number of field elements tends to 1. As such, one ends up with a guise of a “field with one element, \mathbb{F}_1 ” through taking limits of geometries. A general reference for \mathbb{F}_1 is the recent monograph [21].

1.1 Example: projective planes

For instance, let the class of geometries be the classical projective planes $\mathbf{PG}(2, k)$ defined over commutative fields k . Then the number of points per line and the number of lines per point of such a plane is

$$|k| + 1, \quad (1)$$

so in the limit, the “limit object” should have $1 + 1$ points incident with every line. On the other hand, we want that the limit object *remains an axiomatic projective plane*, so we still want it to have the following properties:

- (i) any two distinct lines meet in precisely one point;
- (ii) any two distinct points are incident with precisely one line (the dual of (i));
- (iii) not all points are on one and the same line (to avoid degeneracy).

It is clear that such a limit projective plane (“defined over \mathbb{F}_1 ”) should be an ordinary triangle (as a graph).

1.2 Example: generalized polygons

Projective planes are, by definition, generalized 3-gons. Generalizing the situation to generalized n -gons, $n \geq 3$, a limit generalized n -gon becomes an ordinary n -gon (as a graph). The easiest way to see this is through the polygonal definition of generalized n -gons: if \mathcal{E} is the union of the set of points and the set of lines (which are assumed to be disjoint without loss of generality), then one demands that:

PD1 there are no sub m -gons with $2 \leq m \leq n - 1$;

PD2 any two elements of \mathcal{E} are inside at least one n -gon, and

PD3 there exist $(n + 1)$ -gons.

There is a constant $c \neq 0, 1$ such that any line is incident with $c + 1$ points [24, 1.5.3], and as in the previous example, one lets c go to 1. So (PD3) cannot hold anymore. In [23], Tits defines a generalized n -gon over \mathbb{F}_1 to be an ordinary n -gon. (The fact that the number of lines incident with a point is also $1 + 1$, is explained at the end of §§1.6.)

1.3 Example: Projective spaces of higher dimension

Generalizing the first example to higher dimensions, projective n -spaces over \mathbb{F}_1 should be sets X of cardinality $n + 1$ endowed with the geometry of 2^X : any subset (of cardinality $0 \leq r + 1 \leq n + 1$) is a subspace (of dimension r). In other words, projective n -spaces over \mathbb{F}_1 are *complete graphs on $n + 1$ vertices* with a natural subspace structure. It is important to note that these spaces still satisfy the Veblen-Young axioms [25], and that they are the only such incidence geometries with thin lines.

In the same vein, combinatorial affine \mathbb{F}_1 -spaces consist of one single point and a number m of one-point-lines through it; m is the dimension of the space. We will come back to this definition in §2.

In this paper, $\text{Aut}(\cdot)$ denotes the automorphism group functor (from any category to the category of groups), and \mathbf{S}_m denotes the symmetric group acting on m letters.

Proposition 1.1 (See, e.g., Cohn [2] and Tits [23]). *Let $n \in \mathbb{N} \cup \{-1\}$. The combinatorial projective space $\mathbf{PG}(n, \mathbb{F}_1) = \mathbf{PG}(n, 1)$ is the complete graph on $n + 1$ vertices endowed with the induced geometry of subsets, and $\text{Aut}(\mathbf{PG}(n, \mathbb{F}_1)) \cong \mathbf{PGL}_{n+1}(\mathbb{F}_1) \cong \mathbf{S}_{n+1}$.*

It is important to note that any $\mathbf{PG}(n, k)$ with k a field contains (many) subgeometries isomorphic to $\mathbf{PG}(n, \mathbb{F}_1)$ as defined above; so the latter object is independent of k , and is the *common geometric substructure of all projective spaces of a fixed given dimension*:

$$\underline{\mathcal{A}} : \{\mathbf{PG}(n, k) \mid k \text{ field}\} \longrightarrow \{\mathbf{PG}(n, \mathbb{F}_1)\}. \quad (2)$$

Further in this paper (in §2), we will formally find the automorphism groups of \mathbb{F}_1 -vector spaces through matrices, and these groups will perfectly agree with Proposition 1.1.

1.4 Example: buildings

The examples of the previous subsections can be generalized to all buildings \mathcal{B} : in that case, the \mathbb{F}_1 -copy is an apartment \mathcal{A} . In the context of \mathbb{F}_1 -geometry, apartments are often called *Weyl geometries*. We refer to [23] and [18] for details.

1.5 Example: graphs

Let Γ be any graph, and see it as an incidence geometry with the additional property that any line/edge has precisely two distinct points/vertices. (And let's assume for the sake of convenience that it has no loops.) Then over \mathbb{F}_1 , nothing changes, and hence graphs are fixed points of the functor which sends incidence geometries to their \mathbb{F}_1 -models.

1.6 The functor $\underline{\mathcal{A}}$

In [18], a functor $\underline{\mathcal{A}} : \mathcal{G} \mapsto \mathcal{G}$ is described which associates to a natural class \mathcal{B} of “combinatorial \mathbb{F}_1 -geometries” \mathcal{G} its class \mathcal{A} of “ \mathbb{F}_1 -versions” in much the same way as we have done here for the examples in §§1.1—§§1.5. These \mathbb{F}_1 -versions can be obtained as fixed objects of $\underline{\mathcal{A}}$ (which is called *Weyl functor* in *loc. cit.*).

The \mathbb{F}_1 -functor $\underline{\mathcal{A}}$ should have several properties (with respect to the images); for the details, we refer to the chapter [18]. Here, we isolate the following fundamental properties which will be useful for the present paper:

- A1— all lines should have at most 2 different points;
- A2— an image should be a “universal object,” in the sense that it should be a subgeometry of any thick geometry of the same “type” (defined over *any* field, if at all defined over one) of at least the same rank;
- A3— it should carry the same axiomatic structure (for example: $o \in \mathcal{A}$ and elements of $\underline{\mathcal{A}}^{-1}(o)$ carry the same Buekenhout-Tits diagram);
- F— as \mathcal{A} will be a subclass of the class of \mathbb{F}_1 -geometries, it should consist precisely of the fixed elements of $\underline{\mathcal{A}}$.

Remark 1.2. We work up to point-line duality: that is why we are allowed to ask, without loss of generality, that lines have at most two points. We do *not* ask that they have *precisely* two points, one motivation being e.g. (combinatorial) affine spaces over \mathbb{F}_1 , in which any line has precisely one point.

In some sense, the number of lines through a point of an element Γ of \mathbf{A} should reflect the *rank* of the geometries in $\mathcal{A}^{-1}(\Gamma)$. Think for example of the combinatorial affine and projective spaces over \mathbb{F}_1 , and the “Weyl geometries” of buildings as described by Tits. This principle is a very important feature in the work of M  rida-Angulo and the author described in §7.

2 Combinatorial theory

It is easy to see the symmetric group also directly as a limit with $|k| \rightarrow 1$ of linear groups $\mathbf{PG}(n, k)$ (with the dimension fixed). The number of elements in $\mathbf{PG}(n, k)$ (where $k = \mathbb{F}_q$ is assumed to be finite and q is a prime power) is

$$\frac{(q^{n+1} - 1)(q^{n+1} - q) \cdots (q^{n+1} - q^n)}{(q - 1)} = (q - 1)^n N(q) \quad (3)$$

for some polynomial $N(X) \in \mathbb{Z}[X]$, and we have

$$N(1) = (n + 1)! = |\mathbf{S}_{n+1}|. \quad (4)$$

Now let $n, q \in \mathbb{N}$, and define $[n]_q = 1 + q + \cdots + q^{n-1}$. (For q a prime power, $[n]_q = |\mathbf{PG}(n, q)|$.) Put $[0]_q! = 1$, and define

$$[n]_q! := [1]_q [2]_q \cdots [n]_q \quad (5)$$

and

$$\left[\begin{array}{c} n \\ k \end{array} \right]_q = \frac{[n]_q!}{[k]_q! [n - k]_q!}. \quad (6)$$

If q is a prime power, this is the number of $(k - 1)$ -dimensional subspaces of $\mathbf{PG}(n - 1, q)$ ($= |\text{Grass}(k, n)(\mathbb{F}_q)|$). The next proposition again gives sense to the limit situation of q tending to 1.

Proposition 2.1 (See e.g. Cohn [2]). *The number of k -dimensional linear subspaces of $\mathbf{PG}(n, \mathbb{F}_1)$, with $k \leq n \in \mathbb{N}$, equals*

$$\left[\begin{array}{c} n + 1 \\ k + 1 \end{array} \right]_1 = \frac{n!}{(n - k)! k!} = \left[\begin{array}{c} n + 1 \\ k + 1 \end{array} \right]. \quad (7)$$

Many other enumerative formulas in Linear Algebra, Projective Geometry, etc. over finite fields \mathbb{F}_q seem to keep meaningful interpretations if q tends to 1, and this phenomenon (the various interpretations) suggests a deeper theory in characteristic one.

Right now, we will have a look at some Linear Algebra features in characteristic 1. Many of them are taken from Kapranov and Smirnov’s [10].

2.1 A definition for \mathbb{F}_1

One often depicts \mathbb{F}_1 as the set $\{0, 1\}$ for which we only have the following operations:

$$0 \cdot 1 = 0 = 0 \cdot 0 \text{ and } 1 \cdot 1 = 1. \quad (8)$$

This setting makes \mathbb{F}_1 sit in between the group $(\{1\}, \cdot)$ and \mathbb{F}_2 . So in absolute Linear Algebra we are not allowed to have addition of vectors and we have to define everything in terms of scalar multiplication.

The reason why this approach is natural, will become clear when we consider, e.g., linear automorphisms later in this section.

2.2 Field extensions of \mathbb{F}_1

For each $m \in \mathbb{N}^\times$ we define the *field extension* \mathbb{F}_{1^m} of \mathbb{F}_1 of degree m as the set $\{0\} \cup \mu_m$, where μ_m is the (multiplicatively written) cyclic group of order m , and 0 is an absorbing element for the extended multiplication to $\{0\} \cup \mu_m$.

2.3 Vector spaces over $\mathbb{F}_{1^{(n)}}$

At the level of \mathbb{F}_1 we cannot make a distinction between affine spaces and vector spaces (as a torsor, nothing happens), so in the vein of the previous section, a *vector/affine space* over \mathbb{F}_{1^n} , $n \in \mathbb{N}^\times$, is a triple $V = (\mathbf{0}, X, \mu_n)$, where $\mathbf{0}$ is a distinguished point and X a set, and where μ_n acts freely on X . Each μ_n -orbit corresponds to a direction. If $n = 1$, we get the notion considered in §§1.3. If the dimension is countably infinite, μ_n may be replaced by $\mathbb{Z}, +$ (the infinite cyclic group). Another definition is needed when the dimension is larger.

2.4 Basis

A *basis* of the d -dimensional \mathbb{F}_{1^n} -vector space $V = (\mathbf{0}, X, \mu_n)$ is a set of d elements in X which are two by two contained in different μ_n -orbits (so it is a set of representatives of the μ_n -action); here, formally, X consists of dn elements, and μ_n is the cyclic group with n elements. (If d is not finite one selects exactly one element in each μ_n -orbit.) If $n = 1$, we only have d elements in X (which expresses the fact that the \mathbb{F}_1 -linear group indeed is the symmetric group) - as such we obtain the *absolute basis*.

Once a choice of a basis $\{b_i \mid i \in I\}$ has been made, any element v of V can be uniquely written as $b_j^{\alpha^u}$, for unique $j \in I$ and $\alpha^u \in \mu_n = \langle \alpha \rangle$. So we can also

represent v by a d -tuple with exactly one nonzero entry, namely $b_j^{\alpha^u}$ (in the j -th column).

2.5 Dimension

In the notation of above, the *dimension* of V is given by $\text{card}(V)/n = d$ (the number of μ_n -orbits).

2.6 Field extension

Let $V = (\mathbf{0}, X, \mu_n)$ be a (not necessarily finite dimensional) d -space over \mathbb{F}_{1^n} , n finite, so that $|X = X_V| = dn$. For any positive integral divisor m of n , with $n = mr$, V can also be seen as a dr -space over \mathbb{F}_{1^m} . Note that there is a unique cyclic subgroup μ_m of μ_n of size m , so there is only one way to do it (since we have to preserve the structure of V in the process).

2.7 Projective completion

By definition, the *projective completion* of an affine space $\mathbf{AG}(n, k)$, $n \in \mathbb{N}$ and k a field, is the projective space $\mathbf{PG}(n, k)$ of the same dimension and defined over the same field, which one obtains by adding a hyperplane at infinity.

We have seen how to perform projective completion over \mathbb{F}_1 through the following diagram:

$$\mathbf{PG}(n, \mathbb{F}_1) = \mathbf{AG}(n, \mathbb{F}_1) + \mathbf{PG}(n-1, \mathbb{F}_1). \quad (9)$$

If one replaces \mathbb{F}_1 by an extension \mathbb{F}_{1^m} , the story is more complicate — see e.g. [18, 22].

2.8 Linear automorphisms

A *linear automorphism* α of an \mathbb{F}_{1^n} -vectorspace V with basis $\{b_i\}$ is of the form

$$\alpha(b_i) = b_{\sigma(i)}^{\beta_i} \quad (10)$$

for some power β_i of the primitive n -th root of unity α , and some permutation $\sigma \in \mathbf{S}_d$. Then we have that

$$\mathbf{GL}_d(\mathbb{F}_{1^n}) \cong \mu_n \wr \mathbf{S}_d. \quad (11)$$

Elements of $\mathbf{GL}_d(\mathbb{F}_{1^n})$ can be written as $(d \times d)$ -matrices with precisely one element of μ_n in each row or column (and conversely, any such element determines an element of $\mathbf{GL}_d(\mathbb{F}_{1^n})$). In this setting, \mathbf{S}_d is represented by $(d \times d)$ -matrices with in each row and column exactly one 1 — permutation matrices.

Remark 2.2. Note that the underlying reason that rows and columns have only one nonzero element is that we do not have addition in our vector space.

3 Deninger-Manin theory

In a number of works ([6], [7] and [8]) on motives and regularized determinants, Deninger played with the possibility of translating Weil's proof of the Riemann Hypothesis for function fields of projective curves over finite fields \mathbb{F}_q to the hypothetical curve $\overline{\text{Spec}(\mathbb{Z})}$. This idea also occurred, for instance, in Haran [9], and circulated in work of Smirnov [15] — see [20]. In [7], Deninger gave a description of conditions on a certain category \mathbf{M} of motives which might allow such a translation.

Let \mathcal{C} be a nonsingular absolutely irreducible projective algebraic curve over the finite field \mathbb{F}_q . Fix an algebraic closure $\overline{\mathbb{F}_q}$ of \mathbb{F}_q and let $m \neq 0$ be a positive integer; we have the following Lefschetz formula for the number $|\mathcal{C}(\mathbb{F}_{q^m})|$ of rational points over \mathbb{F}_{q^m} :

$$|\mathcal{C}(\mathbb{F}_{q^m})| = \sum_{\omega=0}^2 (-1)^\omega \text{Tr}\left(\text{Fr}^m \Big| H^\omega(\mathcal{C})\right) = 1 - \sum_{j=0}^{2g} \lambda_j^m + q^f, \quad (12)$$

where Fr is the Frobenius endomorphism acting on the étale ℓ -adic cohomology of \mathcal{C} , the λ_j s are the eigenvalues of this action, and g is the genus of the curve. We then have a motivic *weight decomposition*

$$\begin{aligned} \zeta_{\mathcal{C}}(s) &= \prod_{\omega=0}^2 \zeta_{h^\omega(\mathcal{C})}(s)^{(-1)^{\omega-1}} = \frac{\prod_{j=1}^{2g} (1 - \lambda_j q^{-s})}{(1 - q^{-s})(1 - q^{1-s})} \\ &= \frac{\text{DET}\left((s \cdot \mathbf{1} - q^{-s} \cdot \text{Fr}) \Big| H^1(\mathcal{C})\right)}{\text{DET}\left((s \cdot \mathbf{1} - q^{-s} \cdot \text{Fr}) \Big| H^0(\mathcal{C})\right) \text{DET}\left((s \cdot \mathbf{1} - q^{-s} \cdot \text{Fr}) \Big| H^2(\mathcal{C})\right)}. \end{aligned} \quad (13)$$

(Here the ω -weight component is the zeta function of the pure weight ω motive $h^\omega(\mathcal{C})$ of \mathcal{C} .)

The following analogous formula would hold in \mathbb{M} , where \mathcal{C} is replaced by the “curve” $\overline{\text{Spec}(\mathbb{Z})}$:

$$\zeta_{\overline{\text{Spec}(\mathbb{Z})}}(s) = 2^{-1/2} \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \zeta(s) = \frac{\prod_{\rho} \frac{s-\rho}{2\pi}}{\frac{s}{2\pi} \frac{s-1}{2\pi}} \stackrel{?}{=} \frac{\text{DET}\left(\frac{1}{2\pi}(s \cdot \mathbf{1} - \varrho) \Big| H^1(\overline{\text{Spec}(\mathbb{Z})}, *_{\text{abs}})\right)}{\text{DET}\left(\frac{1}{2\pi}(s \cdot \mathbf{1} - \varrho) \Big| H^0(\overline{\text{Spec}(\mathbb{Z})}, *_{\text{abs}})\right) \text{DET}\left(\frac{1}{2\pi}(s \cdot \mathbf{1} - \varrho) \Big| H^2(\overline{\text{Spec}(\mathbb{Z})}, *_{\text{abs}})\right)}. \quad (14)$$

(The notation used in (14) is as follows:

- * \prod is the infinite *regularized product*;
- * DET denotes the *regularized determinant*;
- * ϱ is an “absolute” Frobenius endomorphism;
- * the $H^i(\overline{\text{Spec}(\mathbb{Z})}, *_{\text{abs}})$ are certain proposed cohomology groups, and
- * the ρ s run through the set of critical zeroes of the classical Riemann zeta.)

Note that in the left-hand side of (14), we consider $\overline{\text{Spec}(\mathbb{Z})}$ instead of $\text{Spec}(\mathbb{Z})$, because we want to have a projective curve as in the expression for the motivic weight decomposition of \mathcal{C} . This is why the factor

$$2^{-1/2} \pi^{-s/2} \Gamma\left(\frac{s}{2}\right) \quad (15)$$

occurs — it is the zeta-factor at infinity.

Conjecturally, in \mathbb{M} there are motives h^0 (“the absolute point”), h^1 and h^2 (“the absolute Lefschetz motive”) with zeta functions

$$\zeta_{h^w}(s) = \text{DET}\left(\frac{1}{2\pi}(s \cdot \mathbf{1} - \varrho) \Big| H^w(\overline{\text{Spec}(\mathbb{Z})}, *_{\text{abs}})\right) \quad (16)$$

for $w = 0, 1, 2$. Deninger computed that $\zeta_{h^0}(s) = s/2\pi$ and $\zeta_{h^2}(s) = (s-1)/2\pi$. Manin proposed to interpret h^0 as $\text{Spec}(\mathbb{F}_1)$ and h^2 as the affine line over \mathbb{F}_1 , in [13].

In [13], Manin then suggested to develop Algebraic Geometry over the field with one element, already in this specific context. So what is a scheme over \mathbb{F}_1 ?

4 Deitmar schemes

One of the first papers which systematically studied a scheme theory over \mathbb{F}_1 was Deitmar's [3], published in 2005. The study in [3] is related to Kato's paper [11]; see §5 and §9 of that paper. By that time, Soulé had already published his fundamental \mathbb{F}_1 -approach to varieties [16].

In \mathbb{Z} -scheme theory, a scheme X is a locally ringed topological space which is locally isomorphic to affine schemes. That is to say, X is covered by opens $\{U_i \mid i \in I\}$ such that the restriction of the structure sheaf \mathcal{O}_X to each U_j is itself a locally ringed space which is isomorphic to the spectrum of a commutative ring. When aiming at an Algebraic Geometry over \mathbb{F}_1 , one wants to have similar definitions at hand, but the commutative rings have to be replaced by appropriate algebraic structures which reflect the \mathbb{F}_1 -nature.

Several attempts have been made to define schemes “defined over \mathbb{F}_1 ,” and often the approaches only differ in subtle variations. We only need the most basic one, which is the “monoidal scheme theory” of Anton Deitmar [4]. In this theory, the role of commutative rings over \mathbb{F}_1 is played by commutative monoids (with a zero).

4.1 Rings over \mathbb{F}_1

A *monoid* is a set A with a binary operation $\cdot : A \times A \longrightarrow A$ which is associative, and has an identity element (denoted 1). Homomorphisms of monoids preserve units, and for a monoid A , A^\times will denote the group of invertible elements (so that if A is a group, $A^\times = A$).

In [3], Deitmar defines the category of rings over \mathbb{F}_1 to be the category of monoids (as thus ignoring additive structure), and the category of commutative \mathbb{F}_1 -rings to be the category of commutative monoids. Usually, we will assume without further notice that an \mathbb{F}_1 -ring A also has a zero-element 0 such that $0 \cdot a = a \cdot 0 = 0, \forall a \in A$.

Below, all monoids will be assumed to be abelian.

4.2 Algebraic closure

A monoid A is *algebraically closed* if every equation of the form $x^n = a$ with $a \in A$ and $n \in \mathbb{N} \setminus \{0\}$ has n solutions in A . Every monoid can be embedded into an algebraically closed monoid, and if A is a group, then there exists a “smallest” such embedding which is called the *algebraic closure* of A .

The algebraic closure $\overline{\mathbb{F}_1}$ of \mathbb{F}_1 is the group μ_∞ of all complex roots of unity; it is isomorphic to \mathbb{Q}/\mathbb{Z} . Note that the multiplicative group $\overline{\mathbb{F}_p}^\times$ of the algebraic closure $\overline{\mathbb{F}_p}$ of the prime field \mathbb{F}_p is isomorphic to the group of all complex roots of unity of order prime to p , so that the definition of $\overline{\mathbb{F}_1}$ might seem strange if compared with the finite field case. One can easily find “meta-arithmetic” arguments to deal with this matter — see [19].

4.3 Localization

Let S be a submonoid of the monoid A . We define the monoid $S^{-1}A$, the *localization* of A by S , to be

$$A \times S / \sim, \quad (17)$$

where the equivalence relation “ \sim ” is given by

$$(a, s) \sim (a', s') \text{ if and only if } s''s'a = s''sa' \text{ for some } s'' \in S. \quad (18)$$

Multiplication in $S^{-1}A$ is componentwise, and one suggestively writes $\frac{a}{s}$ for the element in $S^{-1}A$ corresponding to (a, s) (so $\frac{a}{s} \cdot \frac{a'}{s'} = \frac{aa'}{ss'}$).

4.4 Ideal and spectrum

If C and D are subsets of the monoid A , CD denotes the set of products cd , with $c \in C$ and $d \in D$.

Recall that a monoid is supposed to be abelian. If C is a monoid, $\mathbb{Z}[C]$ denotes the corresponding “monoidal ring” — it is naturally defined similarly to a group ring.

An *ideal* \mathfrak{a} of a monoid M is a subset such that $M\mathfrak{a} \subseteq \mathfrak{a}$. For any ideal \mathfrak{a} in M , $\mathbb{Z}[\mathfrak{a}]$ is an ideal in $\mathbb{Z}[M]$. Note that if A and B are monoids and $\alpha : A \rightarrow B$ is a morphism, then $\alpha^{-1}(\mathfrak{a})$ is an ideal in A if \mathfrak{a} is an ideal in B .

An ideal \mathfrak{p} is called a *prime ideal* if $S_{\mathfrak{p}} := M \setminus \mathfrak{p}$ is a monoid (that is, if $uv \in \mathfrak{p}$, then $u \in \mathfrak{p}$ or $v \in \mathfrak{p}$). For any prime ideal \mathfrak{p} in M , denote by $M_{\mathfrak{p}} = S_{\mathfrak{p}}^{-1}M$ the *localization of M at \mathfrak{p}* .

Proposition 4.1 (Deitmar [4]). *The natural map*

$$M \longrightarrow M_{\mathfrak{p}}, \quad m \longrightarrow \frac{m}{1} \quad (19)$$

with $\mathfrak{p} = M \setminus M^\times$ is an isomorphism.

Let M be a monoid. The *spectrum* $\text{Spec}(M)$ of M is the set of prime ideals endowed with the obvious Zariski topology. Note that the spectrum cannot be empty since $M \setminus M^\times$ is a prime ideal. The closed subsets are the empty set and all sets of the form

$$V(\mathfrak{a}) := \{\mathfrak{p} \in \text{Spec}(M) \mid \mathfrak{a} \subseteq \mathfrak{p}\}, \quad (20)$$

where \mathfrak{a} is any ideal. The point $\eta = \emptyset$ is contained in every nonempty open set and the point $M \setminus M^\times$ is closed and contained in every nonempty closed set. Note also that for every $m \in M$ the set $V(m) := \{\mathfrak{p} \in \text{Spec}(M) \mid m \in \mathfrak{p}\}$ is closed (as $V(m) = V(Mm)$).

Proposition 4.2. *$M \setminus M^\times$ is the unique maximal ideal for any monoid M , so any such M is a local \mathbb{F}_1 -ring.*

4.5 Structure sheaf

Let A be a ring over \mathbb{F}_1 . For any open set $U \subseteq \text{Spec}(A)$, one defines $\mathcal{O}_{\text{Spec}(A)}(U) = \mathcal{O}(U)$ to be the set of functions (called *sections*)

$$s : U \longrightarrow \coprod_{\mathfrak{p} \in U} A_{\mathfrak{p}} \quad (21)$$

for which $s(\mathfrak{p}) \in A_{\mathfrak{p}}$ for each $\mathfrak{p} \in U$, and such that there exists a neighborhood V of \mathfrak{p} in U , and elements $a, b \in A$, for which $b \notin \mathfrak{q}$ for every $\mathfrak{q} \in V$, and $s(\mathfrak{q}) = \frac{a}{b}$ in $A_{\mathfrak{q}}$. The map

$$\mathcal{O}_{\text{Spec}(A)} : \text{Spec}(A) \longrightarrow \text{monoids} : U \longrightarrow \mathcal{O}(U) \quad (22)$$

is the *structure sheaf* of $\text{Spec}(A)$.

Proposition 4.3 (Deitmar [4]). (i) *For each $\mathfrak{p} \in \text{Spec}(A)$, the stalk $\mathcal{O}_{\mathfrak{p}}$ of the structure sheaf is isomorphic to the localization of A at \mathfrak{p} .*

(ii) *For global sections, we have $\Gamma(\text{Spec}(A), \mathcal{O}) := \mathcal{O}(\text{Spec}(A)) \cong A$.*

4.6 Monoidal spaces

A *monoidal space* is a topological space X together with a sheaf of monoids \mathcal{O}_X . Call a morphism of monoids $\beta : A \longrightarrow B$ *local* if $\beta^{-1}(B^\times) = A^\times$. A *morphism* between monoidal spaces (X, \mathcal{O}_X) and (Y, \mathcal{O}_Y) is defined naturally: it is a pair $(f, f^\#)$ with $f : X \longrightarrow Y$ a continuous function, and

$$f^\# : \mathcal{O}_Y \longrightarrow f_* \mathcal{O}_X \quad (23)$$

a morphism between sheaves of monoids on Y . (Here, $f_*\mathcal{O}_X$, the *direct image sheaf* on Y induced by f , is defined by $f_*\mathcal{O}_X(U) := \mathcal{O}_X(f^{-1}(U))$ for all open $U \subseteq Y$.) The morphism is *local* if each of the induced morphisms $f_x^\# : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$ is local.

Proposition 4.4 (Deitmar [4]). (i) *If A is any \mathbb{F}_1 -ring, we have that the pair $(\text{Spec}(A), \mathcal{O}_A)$ defines a monoidal space.*

(ii) *If $\alpha : A \rightarrow B$ is a morphism of monoids, then α induces a morphism of monoidal spaces*

$$(f, f^\#) : \text{Spec}(B) \rightarrow \text{Spec}(A), \quad (24)$$

yielding a functorial bijection

$$\text{Hom}(A, B) \cong \text{Hom}_{\text{loc}}(\text{Spec}(B), \text{Spec}(A)), \quad (25)$$

where on the right-hand side we only consider local morphisms (hence the notation).

4.7 Deitmar's \mathbb{F}_1 -schemes

As in the theory of rings, we have defined a structure sheaf \mathcal{O}_X on the topological space $X = \text{Spec}(M)$, with M a commutative monoid (with a zero). We define a *scheme* over \mathbb{F}_1 to be a topological space together with a sheaf of monoids, locally isomorphic to spectra of monoids in the above sense. The details are below.

Affine schemes. An *affine scheme* over \mathbb{F}_1 is a monoidal space which is isomorphic to $\text{Spec}(A)$ for some monoid A . Such schemes are coined with the term *affine Deitmar schemes* or also *\mathcal{D} -schemes* or *\mathcal{D}_0 -schemes*. (The “ \mathcal{D} ” stands for “Deitmar”; sometimes the sub-index 0 is added to stress that monoids have a zero in this context.)

General schemes. A monoidal space X is a *scheme* over \mathbb{F}_1 if for every point $x \in X$ there is an open neighborhood $U \subseteq X$ such that $(U, \mathcal{O}_{X|U})$ is an affine scheme over \mathbb{F}_1 . As in the affine case, we also speak of *\mathcal{D} -schemes* and *\mathcal{D}_0 -schemes*.

A *morphism* of $\mathcal{D}_{(0)}$ -schemes is a local morphism of monoidal spaces. A point η of a topological space is a *generic point* if it is contained in every nonempty open set.

Proposition 4.5 (Deitmar [4]). (i) *Any connected \mathcal{D}_0 -scheme has a unique generic point \emptyset , and morphisms between connected schemes map generic points to generic points.*
(ii) *For an arbitrary \mathcal{D}_0 -scheme X , $\text{Hom}(\text{Spec}(\mathbb{F}_1), X)$ can be identified with the set of connected components of X .*

5 Acquiring flesh (1)

Given an \mathbb{F}_1 -ring A , *Deitmar base extension to \mathbb{Z}* is defined by

$$A \otimes \mathbb{Z} = A \otimes_{\mathbb{F}_1} \mathbb{Z} = \mathbb{Z}[A]. \quad (26)$$

Denote the functor of base extension by $\mathcal{F}(\cdot, \otimes_{\mathbb{F}_1} \mathbb{Z})$.

Conversely, we have a forgetful functor \mathcal{F} which maps any (commutative) ring (with unit) to its (commutative) multiplicative monoid.

Theorem 5.1 (Deitmar [3]). *The functor $\mathcal{F}(\cdot, \otimes_{\mathbb{F}_1} \mathbb{Z})$ is left adjoint to \mathcal{F} , that is, for every ring R and every \mathbb{F}_1 -ring A we have that*

$$\text{Hom}_{\text{Rings}}(A \otimes_{\mathbb{F}_1} \mathbb{Z}, R) \cong \text{Hom}_{\mathbb{F}_1}(A, \mathcal{F}(R)). \quad (27)$$

One obtains a functor

$$X \longrightarrow X_{\mathbb{Z}} \quad (28)$$

from \mathcal{D}_0 -schemes to \mathbb{Z} -schemes, thus extending the base change functor in the following way: (a) write a scheme X over \mathbb{F}_1 as a union of affine \mathcal{D}_0 -schemes, $X = \cup_i \text{Spec}(A_i)$; (b) then map it to $\cup_i \text{Spec}(A_i \otimes_{\mathbb{F}_1} \mathbb{Z})$ (glued via the gluing maps from X).

Similarly to the general case, we say that the \mathcal{D}_0 -scheme X is *of finite type* if it has a finite covering by affine schemes $U_i = \text{Spec}(A_i)$ such that the A_i are finitely generated.

Proposition 5.2 (Deitmar [4]). *X is of finite type over \mathbb{F}_1 if and only if $X_{\mathbb{Z}}$ is a \mathbb{Z} -scheme of finite type.*

Conversely, one has a functor from monoids to rings, and it is left adjoint to the forgetful functor that sends a ring $(R, +, \times)$ to the multiplicative monoid (R, \times) . A scheme X over \mathbb{Z} can be written as a union of affine schemes

$$X = \cup_i \text{Spec}(A_i) \quad (29)$$

for some set of rings $\{A_i\}$. Then map X to $\cup_i \text{Spec}(A_i, \times)$ (using the gluing maps from X) to obtain a functor from schemes over \mathbb{Z} to schemes over \mathbb{F}_1 which extends the aforementioned forgetful functor.

The next theorem, which is due to Deitmar, shows that integral \mathcal{D}_0 -schemes of finite type become *toric varieties*, once pulled to \mathbb{C} . (We won't define toric varieties here; we refer the reader to any standard text on these structures. Details can also be found in [19].)

Theorem 5.3 (Deitmar [5]). *Let X be a connected integral \mathcal{D}_0 -scheme of finite type. Then every irreducible component of $X_{\mathbb{C}}$ is a toric variety. The components of $X_{\mathbb{C}}$ are mutually isomorphic as toric varieties.*

Other scheme theories over \mathbb{F}_1 are known for which the base change functor to \mathbb{Z} is “more general.” We refer to the monograph [21], and the chapters therein, for a garden of such scheme theories.

6 Kurokawa theory

One of the main tools to understand \mathbb{F}_1 -schemes are their zeta functions. In this section, we define the Kurokawa zeta function, and we mention some interesting results taken from [12]. We first start with collecting some basic notions on arithmetic zeta functions.

6.1 Arithmetic zeta functions

Let X be a scheme of finite type over \mathbb{Z} — a \mathbb{Z} -variety. This means that X has a finite covering of affine \mathbb{Z} -schemes $\text{Spec}(A_i)$ with the A_i finitely generated over \mathbb{Z} . Recall that if \tilde{X} is an k -scheme, k a field, a point $x \in \tilde{X}$ is k -rational if the natural morphism

$$k \hookrightarrow k(x) \tag{30}$$

is an isomorphism, with $k(x)$ the residue field of x . (Note at this point that a homomorphism of fields $f \rightarrow g$ is necessarily injective.) A morphism

$$\text{Spec}(L) \longrightarrow \tilde{X}, \tag{31}$$

with L/k a field extension, is completely determined by the choice of a point $x \in \tilde{X}$ (namely the image of $\text{Spec}(L)$ in \tilde{X}) and a field extension $L/k(x)$ through the natural k -embedding

$$k(x) \hookrightarrow L. \tag{32}$$

Whence the set of L -rational points of \tilde{X} can be identified with

$$\mathrm{Hom}(\mathrm{Spec}(L), \tilde{X}). \quad (33)$$

(If $\tilde{X} \cong \mathrm{Spec}(A)$ is affine, A being a commutative ring, one also has the identification with $\mathrm{Hom}(A, L)$.)

In the next proposition, a k -scheme $X \hookrightarrow \mathrm{Spec}(k)$ is said to be *locally of finite type* (over k) if X has a cover of open affine subschemes $\mathrm{Spec}(A_i)$, with all the A_i finitely generated k -algebras.

Proposition 6.1 (Closed and rational points). (1) *Let X be a \mathbb{Z} -scheme of finite type. A point x of X is closed if and only if its residue field $k(x)$ is finite. (Note that $|k(x)| = \dim(\overline{\{x\}})$ as a closed subscheme.)*

- (2) *Let $k = \overline{k}$ be algebraically closed, and let $\tilde{X} \rightarrow \mathrm{Spec}(k)$ be a k -scheme which is locally of finite type. Then a point x is closed if and only if it is k -rational.*
- (3) *More generally, let k be any field. Then a point x of the k -scheme $\tilde{X} \rightarrow \mathrm{Spec}(k)$, which is again assumed to be locally of finite type, is closed if and only if the field extension $k(x)/k$ is finite. A closed point is k -rational if and only if $k(x) = k$.*

Assume again that X is an arithmetic scheme. Let \overline{X} be the “atomization” of X ; it is the set of closed points, equipped with the discrete topology and the sheaf of fields $\{k(x) \mid x\}$. For $x \in \overline{X}$, let $N(x)$ be the cardinality of the finite field $k(x)$, that is, the *norm* of x . Define the *arithmetic zeta function* $\zeta_X(s)$ as

$$\zeta_X(s) := \prod_{x \in \overline{X}} \frac{1}{1 - N(x)^{-s}}. \quad (34)$$

Examples

We mention four standard examples.

Dedekind Let $X = \mathrm{Spec}(A)$, where A is the ring of integers of a number field \mathbb{K} ; then $\zeta_X(s)$ is the *Dedekind zeta function* of \mathbb{K} .

Riemann Put $X = \mathrm{Spec}(\mathbb{Z})$; then $\zeta_X(s)$ becomes the classical *Riemann zeta function*.

Affine sp. With $\mathbb{A}^n(X)$ being the affine n -space over a scheme X , $n \in \mathbb{N}$, one has

$$\zeta_{\mathbb{A}^n(X)} = \zeta_X(s - n). \quad (35)$$

Projective sp. And with $\mathbb{P}^n(X)$ being the projective n -space over a scheme X , $n \in \mathbb{N}$, one has

$$\zeta_{\mathbb{P}^n(X)} = \prod_{j=0}^n \zeta_X(s-j). \quad (36)$$

The latter can be obtained inductively by using the expression for the zeta function of affine spaces.

6.2 Kurawa theory

In [12], Kurokawa says a scheme X is of \mathbb{F}_1 -type if its arithmetic zeta function $\zeta_X(s)$ can be expressed in the form

$$\zeta_X(s) = \prod_{k=0}^n \zeta(s-k)^{a_k} \quad (37)$$

with the a_k s in \mathbb{Z} . A very interesting result in [12] reads as follows:

Theorem 6.2 (Kurokawa [12]). *Let X be a \mathbb{Z} -scheme. The following are equivalent.*

(i)

$$\zeta_X(s) = \prod_{k=0}^n \zeta(s-k)^{a_k} \quad (38)$$

with the a_k s in \mathbb{Z} .

(ii) For all primes p we have

$$\zeta_{X|\mathbb{F}_p}(s) = \prod_{k=0}^n (1 - p^{k-s})^{-a_k} \quad (39)$$

with the a_k s in \mathbb{Z} .

(iii) There exists a polynomial $N_X(Y) = \sum_{k=0}^n a_k Y^k$ such that

$$\#X(\mathbb{F}_{p^m}) = N_X(p^m) \quad (40)$$

for all finite fields \mathbb{F}_{p^m} .

Kurokawa defines the \mathbb{F}_1 -zeta function of a \mathbb{Z} -scheme X which is defined over \mathbb{F}_1 as

$$\zeta_{X|\mathbb{F}_1}(s) := \prod_{k=0}^n (s-k)^{-a_k} \quad (41)$$

with the a_k s as above. Define, again as above, the *Euler characteristic*

$$\#X(\mathbb{F}_1) := \sum_{k=0}^n a_k. \quad (42)$$

The connection between \mathbb{F}_1 -zeta functions and arithmetic zeta functions is explained in the following theorem, taken from [12].

Theorem 6.3 (Kurokawa [12]). *Let X be a \mathbb{Z} -scheme which is defined over \mathbb{F}_1 . Then*

$$\zeta_{X|\mathbb{F}_1}(s) = \lim_{p \rightarrow 1} \zeta_{X|\mathbb{F}_p}(s)(p-1)^{\#X(\mathbb{F}_1)}. \quad (43)$$

Here, p is seen as a complex variable (so that the left hand term is the leading coefficient of the Laurent expansion of $\zeta_{X|\mathbb{F}_1}(s)$ around $p = 1$).

Examples

For affine and projective spaces, we obtain the following zeta functions (over \mathbb{Z} , \mathbb{F}_p and \mathbb{F}_1 , with $n \in \mathbb{N}^\times$).

Affine sp. $\zeta_{\mathbb{A}^n|\mathbb{Z}}(s) = \zeta(s-n);$

$$\zeta_{\mathbb{A}^n|\mathbb{F}_p}(s) = (1 - p^{n-s})^{-1};$$

$$\zeta_{\mathbb{A}^n|\mathbb{F}_1}(s) = (s-n)^{-1},$$

Projective sp. $\zeta_{\mathbb{P}^n|\mathbb{Z}}(s) = \zeta(s)\zeta(s-1)\cdots\zeta(s-n);$

$$\zeta_{\mathbb{P}^n|\mathbb{F}_p}(s) = \left((1 - p^{-s})(1 - p^{1-s}) \cdots (1 - p^{n-s}) \right)^{-1};$$

$$\zeta_{\mathbb{P}^n|\mathbb{F}_1}(s) = \left(s(s-1) \cdots (s-n) \right)^{-1}.$$

7 Graphs and zeta functions

In this section we will introduce a new zeta function for (loose) graphs through \mathbb{F}_1 -theory, following the work of [14].

In [17], starting with a *loose graph* Γ , which is a graph in which one also allows edges with 0 or 1 point, I associated a Deitmar scheme $S(\Gamma)$ to Γ of which the closed points correspond to the vertices of Γ .

Some features of $S(\cdot)$:

- Fundamental properties and invariants of the Deitmar scheme can be obtained from the combinatorics of the loose graph, such as connectedness and the isomorphism class of the automorphism group.
- A number of combinatorial \mathbb{F}_1 -objects (such as combinatorial \mathbb{F}_1 -projective space) are just loose graphs, and moreover, the associated Deitmar schemes are precisely the scheme versions in Deitmar's theory of these objects.

Translation properties such as in the first item above, were a main goal of the note [17]: trying to handle \mathbb{F}_1 -scheme theoretic issues at the graph theoretic level (bearing in mind how some standard loose graphs should give rise to some standard Deitmar schemes). After base extension, some basic properties of the “real” schemes might then be controlled by the loose graphs, etc.

The idea of the recent work [14] is now to associate a Deitmar scheme to a loose graph in a more natural way, and to show that, after having applied Deitmar's $(\cdot \otimes_{\mathbb{F}_1} \mathbb{Z})$ -functor, the obtained Grothendieck schemes are *defined over* \mathbb{F}_1 in Kurokawa's sense. So they come with a Kurokawa zeta function, and that is the zeta function we associate to loose graphs.

As in [14], we will call the modified functor “ F .” It has to obey a tight set of rules, of which we mention a few important ones:

Rule #1 The loose graphs of the affine and projective space Deitmar schemes should correspond to affine and projective space Deitmar schemes.

Rule #2 A vertex of degree m should correspond locally to an affine space \mathbb{A}^m .

Rule #3 An edge without vertices should correspond to a multiplicative group.

Rule #4 “The loose graph is the map to gluing.”

Remark 7.1. • Because of Rule #1, the pictures of Tits and Kapranov-Smirnov of affine and projective spaces over \mathbb{F}_1 are in agreement with the functor F . (This was also the case for the functor S .)

- In general, Rule #2 does not hold for the functor S . As we expressed at the end of the first section (in the discussion about the functor \mathcal{A}), this property is highly desirable though.

- Rule #3 implies that we have to work with a more general version of Deitmar schemes, since we allow expressions of type

$$\mathbb{F}_1[X, Y]/(XY = 1) \quad (44)$$

(where the last equation generates a congruence on $\mathbb{F}_1[X, Y]$). In [17], I only worked with Deitmar schemes, thus yielding a less natural approach to what the effect on deleting edges is on the corresponding schemes. By the way, $\mathbb{F}_1[X, Y]$ denotes the free abelian monoid generated multiplicatively by X and Y , enriched with a zero.

- The last rule means that for any two vertices u, v of a loose graph Γ , the intersection of the local affine spaces \mathbb{A}_u and \mathbb{A}_v which arise in $F(\Gamma)$ as defined by Rule #2, can be read from Γ . In general, this is a highly nontrivial game to play, as the examples and booby traps in [14] show.

For the details, we refer the reader to [14].

7.1 The Grothendieck ring over \mathbb{F}_1

Many of the formulas and calculations in [14] are expressed in the language of *Grothendieck rings*.

Definition 7.2. The *Grothendieck ring of (Deitmar) schemes* of finite type over \mathbb{F}_1 , denoted as $K_0(\text{Sch}_{\mathbb{F}_1})$, is generated by the isomorphism classes of schemes X of finite type over \mathbb{F}_1 , $[X]_{\mathbb{F}_1}$, with the relation

$$[X]_{\mathbb{F}_1} = [X \setminus Y]_{\mathbb{F}_1} + [Y]_{\mathbb{F}_1} \quad (45)$$

for any closed subscheme Y of X , and with the product structure given by

$$[X]_{\mathbb{F}_1} \cdot [Y]_{\mathbb{F}_1} = [X \times_{\mathbb{F}_1} Y]_{\mathbb{F}_1}. \quad (46)$$

Denote by $\underline{\mathbb{L}} = [\mathbb{A}_{\mathbb{F}_1}^1]_{\mathbb{F}_1}$, the class of the affine line over \mathbb{F}_1 . Then the multiplicative group \mathbb{G}_m satisfies

$$[\mathbb{G}_m]_{\mathbb{F}_1} = \underline{\mathbb{L}} - 1, \quad (47)$$

since it can be identified with the affine line minus one point.

If X is a Deitmar scheme of finite type, and

$$[X]_{\mathbb{F}_1} \in \mathbb{Z}[\underline{\mathbb{L}}] \subset K_0(\text{Sch}_{\mathbb{F}_1}), \quad (48)$$

then we say that $[X]_{\mathbb{F}_1} =: P(X)$ is the *Grothendieck polynomial* of X .

7.2 Affection principle

Starting from a (finite) loose graph Γ , we denote the Deitmar scheme obtained by applying the functor F by $F(\Gamma)$, as before.

In [14] it is shown that $[F(\Gamma)]_{\mathbb{F}_1} \in \mathbb{Z}[\underline{\mathbb{L}}]$. Let $P(\Gamma)$ be the Grothendieck polynomial of $F(\Gamma)$. For each finite field \mathbb{F}_q , the number of \mathbb{F}_q -rational points of $F(\Gamma) \otimes_{\mathbb{F}_1} \mathbb{F}_q$ is given by substituting the value q for the indeterminate $\underline{\mathbb{L}}$ in $P(\Gamma)$ [14]. By **Rule #3**, locally each closed point of $F(\Gamma) \otimes_{\mathbb{F}_1} \mathbb{F}_q$ yields an affine space (of which the dimension is the degree of the point in the graph), so the total number of points can be expressed through the Inclusion-Exclusion principle.

Consider a finite loose graph Γ , and let $P(\Gamma)$ be as above. Taking any edge uv which is not loose, we want to compare $P(\Gamma)$ and $P(\Gamma_{uv})$ in order to introduce a recursive procedure to simplify the loose graph (in that the number of cycles is reduced). Here, Γ_{uv} is the loose graph which one obtains when deleting the edge uv , while replacing it by two new loose edges, one through u and one through v .

In this section, $\overline{\mathbb{A}}$ denotes the projective completion of the affine space \mathbb{A} . Also, if Γ is a loose graph, $\mathbb{P}(\Gamma)$ is the projective \mathbb{F}_1 -space which is defined on the ambient graph of Γ (i.e., the smallest graph in which Γ is embedded).

Calling $d(\cdot, \cdot)$ the distance function in Γ defined on $V \times V$, V being the vertex set (so that, for example, $d(s, t)$, with s and t distinct vertices, is the number of edges in a shortest path from s to t), it appears that one only needs to consider what happens in the vertex set

$$\mathbf{B}(u, 1) \cup \mathbf{B}(v, 1), \quad (49)$$

where $\mathbf{B}(c, k) := \{v \in V \mid d(c, v) \leq k\}$.

Theorem 7.3 (Affection Principle [14]). *Let Γ be a finite connected loose graph, let xy be an edge on the vertices x and y , and let S be a subset of the vertex set. Let k be any finite field, and consider the k -scheme $F(\Gamma) \otimes_{\mathbb{F}_1} k$. Then $\cap_{s \in S} \mathbb{A}_s$ changes when one resolves the edge xy only if $\cap_{s \in S} \mathbb{A}_s$ is contained in the projective subspace of $\mathbb{P}(\Gamma) \otimes_{\mathbb{F}_1} k$ “ k -generated” by $\mathbf{B}(x, 1) \cup \mathbf{B}(y, 1)$.*

In the next theorem, we will use the notation $\mathbb{P}(\mathbf{B}(u, 1) \cup \mathbf{B}(v, 1)) =: \mathbb{P}_{u,v}$. If Δ is a loose graph, its *reduced* version is the graph one obtains after deleting the loose edges.

Corollary 7.4 (Geometrical Affection Principle [14]). *Let Γ be a finite connected loose graph, let xy be an edge on the vertices x and y , and let k be any finite field. The difference in the number of k -points of $F(\Gamma) \otimes_{\mathbb{F}_1} k$ and $F(\Gamma_{xy}) \otimes_{\mathbb{F}_1} k$ is*

$$\left| F(\Gamma|_{\mathbb{P}_{x,y}}) \otimes_{\mathbb{F}_1} k \right|_k - \left| F(\Gamma_{xy}|_{\mathbb{P}_{x,y}}) \otimes_{\mathbb{F}_1} k \right|_k. \quad (50)$$

In this expression, Γ may be chosen to be reduced (but one is not allowed to reduce Γ_{xy}).

In terms of Grothendieck polynomials, we have the following theorem.

Corollary 7.5 (Polynomial Affection principle [14]). *Let Γ be a finite connected loose graph, let xy be an edge on the vertices x and y , and let k be any finite field. Then in $K_0(\mathbf{Sch}_k)$ we have*

$$P(\Gamma) - P(\Gamma_{xy}) = P(\Gamma|_{\mathbb{P}_{x,y}}) - P(\Gamma_{xy}|_{\mathbb{P}_{x,y}}). \quad (51)$$

7.3 Loose trees

Let Γ be a loose tree.

- Let D be the set of degrees $\{d_1, \dots, d_k\}$ of the vertex set $V(\Gamma)$ such that $1 < d_1 < d_2 < \dots < d_k$.
- Let us call n_i the number of vertices of Γ with degree d_i , $1 \leq i \leq k$.
- Put $I = \sum_{i=1}^k n_i - 1$.
- Let E be the number of vertices of Γ with degree 1, that is the *end points*.

Then by [14], the class of Γ in $K_0(\mathbf{Sch}_{\mathbb{F}_1})$ is given by the following map:

$$\begin{aligned} [\cdot]_{\mathbb{F}_1} : \quad \{\text{Loose trees}\} &\longrightarrow & K_0(\mathbf{Sch}_{\mathbb{F}_1}) \\ \Gamma &\longrightarrow & [\Gamma]_{\mathbb{F}_1} = \sum_{i=1}^k n_i \mathbb{L}^{d_i} - I \cdot \mathbb{L} + I + E. \end{aligned} \quad (52)$$

7.4 Surgery

Calculating Grothendieck polynomials of general loose trees is very complicated — see the many examples analyzed in [14]. In *loc. cit.*, a procedure called “surgery” is introduced, which makes it possible to determine such polynomials by “resolution of edges,” eventually reducing the calculation to the tree case, and this is a case which was resolved completely (cf. §§7.3).

When Δ is a loose graph, and $e = xy$ is an edge with vertices x and $y \neq x$, *resolving* e means that one constructs the loose graph $\Delta_{xy} = \Delta_e$ as before, i.e., the adjacency between x and y is broken, and replaced by two new loose edges

(one on x and one on y). (Locally, the dimensions of the affine spaces at x and y remain the same, and the dimension of the ambient projective space of Δ increases.)

In a nutshell, the following happens, starting from a finite loose graph $\Gamma = (V, E)$.

Spanning Choose an arbitrary loose spanning tree T (obviously defined) in Γ .

Resolution Let S be the set of edges of Γ not in T which are not loose. Order $S = \{e_1, \dots, e_n\}$. Now resolve all the edges in S , as follows:

$$\Gamma \longrightarrow \Gamma_{e_1} \longrightarrow (\Gamma_{e_1})_{e_2} \longrightarrow \dots \quad (53)$$

while keeping track of all the polynomial differences

$$\left[P(\Gamma_{e_1}) - P(\Gamma) \right], \quad \left[P((\Gamma_{e_1})_{e_2}) - P(\Gamma_{e_1}) \right], \quad \dots \quad (54)$$

which one calculates using the Affection Principle.

Reduction Once one has resolved all the edges in S , we obtain a tree, and by §§7.3 we know its Grothendieck polynomial. Now use the list of differences in the previous step to write down the Grothendieck polynomial of Γ .

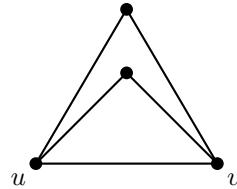
In [14] it is shown that surgery is independent of the choice of the spanning tree, and of the order in which one chooses to resolve the edges.

7.5 Resolving edges — two examples

We now explain some examples.

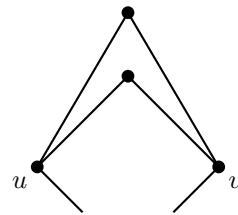
7.5.1 Example #1

We define $\Gamma(u, v; 2)$, with u, v symbols, to be the loose graph with adjacent vertices u, v ; 2 common neighbors v_1, v_2 of u and v and no further incidences.



For k any field, the corresponding k -schemes consist of two affine 3-spaces \mathbb{A}_u and \mathbb{A}_v and 2 additional closed points in their spaces at infinity, of which the union covers all the points of the projective 3-space $\mathbb{P}(\Gamma(u, v; 2))$ up to all points of the intersection γ of their spaces at infinity (which is a projective line), except 2 points in γ in general position. So the Grothendieck polynomial is

$$\sum_{i=0}^3 \underline{\mathbb{L}}^i - \left(\left(\sum_{i=0}^1 \underline{\mathbb{L}}^i \right) - 2 \right) = \underline{\mathbb{L}}^3 + \underline{\mathbb{L}}^2 + 2. \quad (55)$$



Resolving $\Gamma(u, v; 2)$ along uv , the k -schemes corresponding to $\Gamma(u, v; 2)_{uv}$ consist of two disjoint affine 3-spaces \mathbb{A}_u and \mathbb{A}_v (of which the planes at infinity intersect in the projective line generated by v_1, v_2) and 2 additional mutually disjoint affine planes α_i , $i = 1, 2$, in the projective 5-space $\mathbb{P}(\Gamma(u, v; 2))$ such that for each j , $\alpha_j \cap \mathbb{A}_u \cong \alpha_j \cap \mathbb{A}_v$ is a projective line minus two points.

The Grothendieck polynomial is

$$2\underline{\mathbb{L}}^3 + 2\underline{\mathbb{L}}^2 - 4(\underline{\mathbb{L}} - 1). \quad (56)$$

7.5.2 Example #2

Starting from a triangle (as a graph), i.e., a combinatorial projective plane over \mathbb{F}_1 , one deduces in a similar manner that its Grothendieck polynomial is $\underline{\mathbb{L}}^2 + \underline{\mathbb{L}} + 1$. In general, the Grothendieck polynomial of a complete graph with $m + 1$ vertices, $m \neq 0$, is

$$\underline{\mathbb{L}}^m + \underline{\mathbb{L}}^{m-1} + \cdots + 1. \quad (57)$$

The loose graph of an affine \mathbb{F}_1 -space of dimension m has as Grothendieck polynomial

$$\underline{\mathbb{L}}^m. \quad (58)$$

Both (57) and (58) are connected via the expression (9) in the Grothendieck ring.

7.6 The zeta function

We formally recall the next theorem (which was already mentioned implicitly), from [14].

Theorem 7.6 ([14]). *For any loose graph Γ , the \mathbb{Z} -scheme $\chi := F(\Gamma) \otimes_{\mathbb{F}_1} \mathbb{Z}$ is defined over \mathbb{F}_1 in Kurokawa's sense.*

Theorem 7.6 makes it possible to associate a (Kurokawa) zeta function to any loose graph, in the following way.

Definition 7.7 (Zeta function for (loose) graphs). Let Γ be a loose graph, and let $\chi := F(\Gamma) \otimes_{\mathbb{F}_1} \mathbb{Z}$. Let $P_\chi(X) = \sum_{i=0}^m a_i X^i \in \mathbb{Z}[X]$ be as above. We define the \mathbb{F}_1 -zeta function of Γ as:

$$\zeta_\Gamma^{\mathbb{F}_1}(t) := \prod_{k=0}^m (t - k)^{-a_k}. \quad (59)$$

7.7 Example: trees

Now let Γ be a tree. We use the same notation as before, so that its class in the Grothendieck ring is given by

$$[\Gamma]_{\mathbb{F}_1} = \sum_{i=1}^m n_i \underline{\mathbb{L}}^{d_i} - I \cdot \underline{\mathbb{L}} + I + E. \quad (60)$$

The zeta function is thus given by

$$\zeta_\Gamma^{\mathbb{F}_1}(t) = \frac{(t-1)^I}{t^{E+I}} \cdot \prod_{k=1}^m (t - k)^{-n_k}. \quad (61)$$

8 Acquiring flesh (2) — The Weyl functor depicted

Sometimes, the functor \underline{A} is artfully depicted by the following diagram, in which Bacon's "Study after Velázquez's portrait of Pope Innocent X" [1] is compared to Velázquez's "Portrait of Innocent X" [26] (Bacon's version being the \mathbb{F}_1 -version of the original painting of Velázquez):

“Portrait of Innocent X”

An oil on canvas (114cm × 119cm) of the Spanish painter Diego Velázquez (1599-1660) dating from about 1650, depicting a portrait of Pope Innocent X.

$\downarrow \mathcal{A}$

“Study after Velázquez’s portrait of Pope Innocent X”

An oil on canvas (153cm × 118cm) of the Irish painter Francis Bacon (1909-1992) dating from 1953, showing a distorted version of Velázquez’s portrait of Pope Innocent X.

At the conference, I showed that in a more modern setting, there is some analogy with the arrow

JAT \longrightarrow KT.

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